

10-19-2001

FORM PTO-1595  
(Rev. 6/93)

101878431  
RECORDATION FOR COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney Docket No. 1340-1-034N

To the Assistant Commissioner for Patents, Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Erin Schuetz; Joing Zhang; and Mahfoud Assem

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: September 28, 2001; October 1, 2001; and  
September 24, 2001

## 2. Name and address of receiving party(ies):

Name: St. Jude Children's Research HospitalAddress: 332 North Lauderdale Street  
Memphis, TN 38105-2794Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christine E. DietzelAddress: KLAUBER & JACKSON411 Hackensack Avenue, 4th FloorHackensack, New Jersey 07601

## 6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account, if necessary -  
for overages or underpayments only

## 8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christine E. Dietzel, Reg. No. 37,309

Name of Person Signing

Signature

10/10/01

Date

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner For Patents  
Box Assignments  
Washington, D.C. 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1340-1-034N (SJ-0029)  
Inventors: Schuetz et al.  
Serial No.: 09/974,619  
Filing Date: October 10, 2001  
Examiner: Not yet assigned  
Group Art Unit: 1645  
Title: Genotyping Assay to Predict  
CYP3A5 Phenotype

## CERTIFICATE UNDER 37 CFR 3.73(b)

St. Jude Children's Research Hospital, a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on October 10, 2001 at Reel 012254, Frame 0388 for which a copy thereof is attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own belief are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under

Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

*J. Scott Elmer*  
Signature

05/14/2002  
Date

J. Scott Elmer  
Typed or printed name

Director, Office of Technology Licensing  
Title

Attorney Docket No.: 1340-1-034N

ASSIGNMENT

WHEREAS, WE,

ERIN SCHUETZ, a citizen of the United States, residing at  
1442 Carr Avenue, Memphis, TN 38104; and

JIONG ZHANG, a citizen of the People's Republic of China, residing at  
4278 Goldie Avenue, Memphis, TN 38122;

MAHFOUD ASSEM, a citizen of France, residing at  
1) A 1275 Applebrook #2, Memphis, TN 38134 5511 MELVIN RD, Memphis, TN 38120

have invented certain new and useful improvements in

**GENOTYPING ASSAY TO PREDICT CYP3A5 PHENOTYPE**

for which we have filed an Application for Letters Patent in the United States on March 29,  
2001, and was accorded U.S. Serial No. 60/279,915; and

WHEREAS, **ST. JUDE CHILDREN'S RESEARCH HOSPITAL**  
332 NORTH LAUDERDALE STREET  
MEMPHIS, TENNESSEE 38105-2794

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said  
improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which  
is hereby acknowledged, we the said

**ERIN SCHUETZ; JIONG ZHANG and MAHFOUD ASSEM**

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,  
transfer and set over, to the said

**ST. JUDE CHILDREN'S RESEARCH HOSPITAL**

its successors, legal representatives and assigns, the entire right, title and interest in, to and  
under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent which  
may hereafter be filed for said improvements in any country or countries foreign to the United  
States, including the right to claim priority under the terms of any appropriate International  
Convention based upon said application for Letters Patent of the United States, and all Letters  
Patent which may be granted from said improvements in any country or countries foreign to  
the United States and extensions, renewals and reissues thereof; and we hereby authorize and

Attorney Docket No.: 1340-1-034N

any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

**ST. JUDE CHILDREN'S RESEARCH HOSPITAL**

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

**ST. JUDE CHILDREN'S RESEARCH HOSPITAL**

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

**ST. JUDE CHILDREN'S RESEARCH HOSPITAL**

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 9-28, 2001

Erin Schuetz L.S.  
ERIN SCHUETZ

Date 10/01, 2001

Frongh Zhang L.S.  
JIONG ZHANG

Date 9-24, 2001

Mahfoud Assem L.S.  
MAHFOUD ASSEM